

## UBO declaration and supplementary business information

At the request of Nationale-Nederlanden Levensverzekering Maatschappij N.V.,

### The undersigned

Name

Legal form

Chamber of commerce (KvK) number

Registered office

Hereafter referred to as 'the organisation'

Declares:

**The organisation has an Ultimate Beneficial Owner (UBO, see last page for explanatory notes):**

Complete the information in A and sign the form (C)

**The organisation does not have a UBO as described in the explanatory notes, consequently the UBO is the person(s) in a senior management position:**

Complete the information in B and sign the form (C)

### A Information on the UBO

1 Surname and first names

Full address

Date of birth

Percentage directly held

Percentage indirectly held

2 Surname and first names

Full address

Date of birth

Percentage directly held

Percentage indirectly held

3 Surname and first names

Full address

Date of birth

Percentage directly held

Percentage indirectly held

**B Information on senior management positions**

1 Surname and first names	_____
Full address	_____
Date of birth	_____ _____ _____ _____ _____
Position (title)	_____
2 Surname and first names	_____
Full address	_____
Date of birth	_____ _____ _____ _____ _____
Position (title)	_____
3 Surname and first names	_____
Full address	_____
Date of birth	_____ _____ _____ _____ _____
Position (title)	_____
4 Surname and first names	_____
Full address	_____
Date of birth	_____ _____ _____ _____ _____
Position (title)	_____
5 Surname and first names	_____
Full address	_____
Date of birth	_____ _____ _____ _____ _____
Position (title)	_____

**C Signature by the organisation** (to be signed by a duly authorised director).

1 Name	_____
Date	_____ _____ _____ _____ _____
Place	_____
Signature	_____
2 Name	_____
Date	_____ _____ _____ _____ _____
Place	_____
Signature	_____

Please enclose a copy of proof of identity for each signatory with this signed declaration.

**Additional information on the business**

Please answer the following questions

What precisely are the commercial activities?

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In which countries does the business operate?

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### **When is the Ultimate Beneficial Owner relevant?**

The UBO declaration is required if you wish to enter into a commercial relationship with Nationale-Nederlanden Levensverzekering Maatschappij N.V

### **What is it?**

UBO is short for Ultimate Beneficial Owner. If you are a sole trader you are the 100% owner and must provide your personal details.

We define the Ultimate Beneficial Owner of a legal entity with more than one beneficial owner as the person(s) with:

- a holding of more than 25% of the capital of the company;
- more than 25% of the voting rights at the company's general meeting;
- effective control of the company;
- entitlement to 25% or more of the assets (including a trust); or
- special control of 25% or more of the business assets.

### **Why is this necessary?**

If a legal entity wishes to enter into a pension scheme with Nationale-Nederlanden, we are required to ascertain the identities of the Ultimate Beneficial Owners and to retain this information in our records. We are also required to consult your UBO Register at the Chamber of Commerce and to report any differences we note in a holding or size of a holding. This is a requirement under the Anti-Money Laundering and Counter Terrorism Financing Act (*Wet ter voorkoming van witwassen en financieren van terrorisme - Wwft*) and applies to all financial institutions in Europe.

### **Additional information on your business for the financial integrity check**

We are required by law to check the persons involved with the agreement against lists of names available to financial institutions. We do this after we have received the signed agreement from you. The agreement will be entered into under the suspensive condition that this check does not show that your company, a representative or authorised representative of your company, an ultimate beneficiary of your company or other person involved:

- is or may be connected with money laundering and/or financing terrorism;
- is on a domestic or foreign sanctions list;
- is a politically exposed person with an enhanced integrity risk.

If any of the above situations arises, the agreement will not be entered into. We will notify you accordingly.

### **Privacy**

The General Data Protection Regulation (GDPR/AVG) applies to the processing of personal data. The information provided in this form is processed as part of the customer screening that insurance companies and others involved in providing insurance have to perform to comply with the Customer Due Diligence (CDD) requirements arising from the Financial Supervision Act (*Wet op het financieel toezicht*), the Sanctions Act (*Sanctiewet*) and regulations. The information is also processed to prevent and combat fraud against financial institutions and for statistical analyses. The controller of the processing of personal data obtained from this UBO form is the legal entity named in the communications which this UBO form is part of.

### **Questions**

Please contact us if you have any questions.